# PATENT COOPERATION TREATY

	From the INTERNATIONAL BUREAU
PCT	То:
NOTIFICATION OF THE RECORDING OF A CHANGE  (PCT Rule 92bis.1 and Administrative Instructions, Section 422)	SCHÄFERJOHANN, Volker Deutsche Thomson-Brandt GmbH European Patent Operations Karl-Wiechert-Allee 74 D-30625 Hannover ALLEMAGNE
Date of mailing (day/month/year) 02 August 2001 (02.08.01)	
Applicant's or agent's file reference PD990014	IMPORTANT NOTIFICATION
International application No. PCT/EP00/02182	International filing date (day/month/year) 13 March 2000 (13.03.00)
The following indications appeared on record concerning:      The applicant the inventor	the agent the common representative
Name and Address  DEUTSCHE THOMSON-BRANDT GMBH Hermann-Schwer-Str. 3 D-78048 Villingen-Schwenningen Germany	State of Nationality DE DE Telephone No.  Facsimile No.  Teleprinter No.
The International Bureau hereby notifies the applicant that the X the person the name the add Name and Address	dress the nationality the residence  State of Nationality State of Residence
THOMSON LICENSING S.A. 46, quai A. Le Gallo F-92100 Boulogne-Billancourt	FR FR Telephone No.
France	Facsimile No.
	Teleprinter No.
3. Further observations, if necessary:	
4. A copy of this notification has been sent to:	
X the receiving Office the International Searching Authority the International Preliminary Examining Authority	the designated Offices concerned  X the elected Offices concerned  other:
The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer  Elisabeth KÖNIG  Telephone No.: (41-22) 338.83.38

### P/ INT COOPERATION TREAT

## From the INTERNATIONAL BUREAU **PCT** Commissioner **NOTIFICATION OF ELECTION US Department of Commerce** United States Patent and Trademark Office, PCT (PCT Rule 61.2) 2011 South Clark Place Room CP2/5C24 Arlington, VA 22202 **ETATS-UNIS D'AMERIQUE** Date of mailing (day/month/year) in its capacity as elected Office 15 November 2000 (15.11.00) International application No. Applicant's or agent's file reference PD990014 PCT/EP00/02182 International filing date (day/month/year) Priority date (day/month/year) 25 March 1999 (25.03.99) 13 March 2000 (13.03.00) Applicant SCHWEIDLER, Siegfried et al 1. The designated Office is hereby notified of its election made: X in the demand filed with the International Preliminary Examining Authority on: 30 September 2000 (30.09.00)

	in a not	ice effectin	ng later election fi	led with the Intern	ational Bureau o	n:		
				=		<del></del>		
2.	The election	X wa	as					
		wa	as not					
	made before t Rule 32.2(b).	the expirat	tion of 19 months	from the priority o	late or, where Ru	le 32 applies, with	hin the time limit u	nder
		•						

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Authorized officer

Olivia TEFY

Telephone No.: (41-22) 338.83.38

Facsimile No.: (41-22) 740.14.35



# **PCT**

REC'D	1	1	APR	2001
WIPO			F	cr

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference	FOR FURTHER ACTION	See Notification of Transmittal of International						
PD990014	FOR FURTHER ACTION	Preliminary Examination Report (Form PCT/IPEA/416)						
International application No.	International filing date (day/mont	th/year) Priority date (day/month/year)						
PCT/EP00/02182	13/03/2000	25/03/1999						
nternational Patent Classification (IPC) or national classification and IPC 306F13/38								
Ameliana								
Applicant  DEUTSCHE THOMSON-BRANDT	CMDU et el							
DEUTSCHE THOMSON-BRANDT	GIVIDITI EL AI.							
This international preliminary exam and is transmitted to the applicant a		ed by this International Preliminary Examining Authority						
2. This REPORT consists of a total of	5 sheets, including this cover s	sheet.						
been amended and are the bas	d by ANNEXES, i.e. sheets of the sis for this report and/or sheets of the Administrative Instruction	he description, claims and/or drawings which have containing rectifications made before this Authority ions under the PCT).						
These annexes consist of a total of	sheets.							
This report contains indications relations	ating to the following items:							
I ⊠ Basis of the report		·						
II 🗆 Priority								
III	pinion with regard to novelty, in	ventive step and industrial applicability						
IV  Lack of unity of invention								
V ⊠ Reasoned statement un citations and explanation	nder Article 35(2) with regard to ons suporting such statement	novelty, inventive step or industrial applicability;						
VI Certain documents cite	ed							
VII Certain defects in the in	· ·							
VIII 🖾 Certain observations of	VIII   Certain observations on the international application							
Date of submission of the demand	Date of	completion of this report						
30/09/2000	05.04.2	2001						
Name and mailing address of the international preliminary examining authority:	al Authoriz	zed officer						
European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656	Rudol	ph, S						
Fax: +49 89 2399 - 4465		one No. +49 89 2399 7526						



International application No. PCT/EP00/02182

		the drawings, sh	neets:		<b>\$</b> , \$				
5.		This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):							
		(Any replacement shee report.)	t contain	ing such	amendments must be referred to under item 1 and annexed to this				
6.	Add	litional observations, if n	ecessary	<b>:</b>					
V.	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement								
1.	Stat	ement							
	Nov	relty (N)		Claims Claims	1-9				
	Inve	entive step (IS)		Claims Claims	1-9				
	Indu	ustrial applicability (IA)		Claims Claims	1-9				
2.	Cita	tions and explanations							

### VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made: see separate sheet

see separate sheet

### Re Item V

Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

÷ : ÷

As clarity objections are raised, the comments under section VIII of this international preliminary examination report had been taken into consideration for the examination with regard to novelty, inventive step and industrial applicability.

1. The international application relates to the management of data received as bus packets via a data bus. A defined number of data blocks forms a data source packet wherein the bus packets have a variable length, i.e. comprise a variable number of data packets.

The technical problem addressed by independent claim 1 of the international application is to determine the data source packet boundaries and to indicate these to a memory management unit while receiving said bus packets comprising a variable amount of data packets.

The solution proposed by present claim 1 of the international application is to carrying out modulo-n counting, while n is the fixed amount of data packets forming a data source packet, and to signal said data source packet boundaries to a memory management device at the beginning of each counting interval.

None of the prior art documents cited in the international search report disclose the feature of modulo-n counting while receiving data via a data bus. Thus, claim 1 of the international application meets the requirements of Article 33(2) PCT concerning novelty.

The solution of claim 1 of the international application appears to be obvious to the person skilled in the art to solve the given problem. Employing modulo-n counters in serial bus interfaces in order to count bits, bytes or data packets, respectively, can be considered as common knowledge. However, none of the prior art documents cited in the international search report discuss the afore-mentioned technical problem, i.e. determining data source packet boundaries while receiving data packets

**EXAMINATION REPORT - SEPARATE SHEET** 

of variable length and, consequently, none of these documents describe modulo-n counting of data packets while receiving data in order to solve said technical problem.

An inventive step in the sense of Article 33(3) PCT in combination with PCT Preliminary International Examination Guidelines C-IV-8.4 and 8.4(i) should therefore be recognized.

- 2. Claims 2 - 4 depend on independent claim 1 which was found novel and inventive. Consequently, also claims 2 - 4 meet the requirements of Articles 33(2) and (3) PCT concerning novelty and an inventive step.
- 3. Apparatus claim 5 directly corresponds to method claims 1 - 4 as it discloses an apparatus for carrying out the method of one of said preceding claims. As said preceding claims was found novel and inventive also claims 5 meets the requirements of Articles 33(2) and (3) PCT concerning novelty and an inventive step.
- 4. Claims 6 - 9 depend on apparatus claim 5 which was found novel and inventive. Consequently, also claims 6 - 9 meet the requirements of Articles 33(2) and (3) PCT concerning novelty and an inventive step.
- 5. Claims 1 - 9 meet the requirements of Article 33(4) PCT concerning industrial applicability.

### Re Item VIII

### Certain observations on the international application

Apparatus claims 8 and 9 depend on one of the preceding claims including method claims 1 - 4. As the wording of said claims leave doubt as to its category the requirements of Article 6 PCT are not complied with (see also PCT Preliminary International Examination Guidelines C-III-4.1). For the examination with regard to novelty, inventive step and industrial applicability under section V of this examination report it is assumed that said claim 8 depends on one of the apparatus claims 5 - 7 and claim 9 depends on one of the apparatus claims 5 - 8.

### PATENT COOPERATION TREATY

EXPRESS MAIL (6) 68539/650US

From the

INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

			_
То:			
SCHAFERSJOHANN, DEUTSCHE THOMSO	N-BRANDT GMBH 🧳 , 🤚	. 5	<b>?</b>
European Patent Opera Karl-Wiechert-Allee 74	THOMSON multimedia	1	04.
D-30625 Hannover ALLEMAGNE	7 0 9, April 2001		
·	Patent Department		Date

PCT

NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Rule 71.1)

Date of mailing (day/month/year)

05.04.2001

Applicant's or agent's file reference

PD990014 \( \square\)
International application No.

PCT/EP00/02182

International filing date (day/month/year) 13/03/2000

Priority date (day/month/year) 25/03/1999

IMPORTANT NOTIFICATION

Applicant

DEUTSCHE THOMSON-BRANDT GMBH et al.

- 1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
- 2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
- 3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

### 4. REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA/

Authorized officer

Koski, P

European Patent Office D-80298 Munich

Tel. +49 89 2399 - 0 Tx: 523656 epmu d

Fax: +49 89 2399 - 4465

Tel.+49 89 2399-2709





# **PCT**

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

PD990014	FOR FURTHER ACTION	See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)						
International application No.	International filing date (day/month	/year) Priority date (day/month/year)						
PCT/EP00/02182	13/03/2000	25/03/1999						
International Patent Classification (IPC) or national classification and IPC G06F13/38								
Applicant DEUTSCHE THOMSON-BRANDT (	GMBH et al.							
	This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.							
2. This REPORT consists of a total of	5 sheets, including this cover sh	eet.						
been amended and are the bas		e description, claims and/or drawings which have ontaining rectifications made before this Authority ons under the PCT).						
These annexes consist of a total of	sheets.							
This report contains indications rela	ating to the following items:							
I ⊠ Basis of the report								
Ⅱ □ Priority								
III   Non-establishment of o	pinion with regard to novelty, inv	entive step and industrial applicability						
IV  Lack of unity of invention								
	nder Article 35(2) with regard to r ons suporting such statement	novelty, inventive step or industrial applicability;						
VI   Certain documents cite	ed							
VII   Certain defects in the ir	nternational application							
VIII 🗵 Certain observations or	n the international application							
Date of submission of the demand	Date of c	ompletion of this report						
30/09/2000	05.04.20	01						
Name and mailing address of the international preliminary examining authority:  European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656	Rudolp	September 1 Septem						
Fax: +49 89 2399 - 4465	· '	De No. +49 89 2399 7526						

Telephone No. +49 89 2399 7526

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/EP00/02182

### I. Basis of the report

	and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17)):  Description, pages:							
	1-1	1 ,	as originally filed					
	Cla	ims, No.:						
	1-9		as originally filed					
	Dra	wings, sheets:						
	1/1		as originally filed					
2.		With regard to the <b>language</b> , all the elements marked above were available or furnished to this Authority in the anguage in which the international application was filed, unless otherwise indicated under this item.						
	These elements were available or furnished to this Authority in the following language: , which is:							
		the language of a	translation furnished for the purposes of the international search (under Rule 23.1(b)).					
		the language of pu	blication of the international application (under Rule 48.3(b)).					
		the language of a 55.2 and/or 55.3).	translation furnished for the purposes of international preliminary examination (under Rule					
3.		_	leotide and/or amino acid sequence disclosed in the international application, the y examination was carried out on the basis of the sequence listing:					
		contained in the in	ternational application in written form.					
		filed together with	the international application in computer readable form.					
		furnished subsequ	ently to this Authority in written form.					
		furnished subsequently to this Authority in computer readable form.						
		The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.						
		The statement that listing has been fu	t the information recorded in computer readable form is identical to the written sequence mished.					
4.	The	amendments have	resulted in the cancellation of:					
		the description,	pages:					
		the claims,	Nos.:					

1. With regard to the **elements** of the international application (Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed"



International application No. PCT/EP00/02182

		the drawings,	sheets:		
5.	5. This report has been established as if (some of) the amendments had not been made, since they have be considered to go beyond the disclosure as filed (Rule 70.2(c)):				
		(Any replacement she report.)	eet contaii	ning such	amendments must be referred to under item 1 and annexed to this
6.	Add	litional observations, if	f necessar	y:	
V.		soned statement un			ith regard to novelty, inventive step or industrial applicability;
1.	Stat	ement			
	Nov	elty (N)	Yes: No:	Claims Claims	1-9
	Inve	entive step (IS)	Yes: No:	Claims Claims	1-9
	Indu	ıstrial applicability (IA)	Yes: No:	Claims Claims	1-9
2.		tions and explanations separate sheet	s		

### VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made: see separate sheet

## **EXAMINATION REPORT - SEPARATE SHEET**

### Re Item V

Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

As clarity objections are raised, the comments under section VIII of this international preliminary examination report had been taken into consideration for the examination with regard to novelty, inventive step and industrial applicability.

1. The international application relates to the management of data received as bus packets via a data bus. A defined number of data blocks forms a data source packet wherein the bus packets have a variable length, i.e. comprise a variable number of data packets.

The technical problem addressed by independent claim 1 of the international application is to determine the data source packet boundaries and to indicate these to a memory management unit while receiving said bus packets comprising a variable amount of data packets.

The solution proposed by present claim 1 of the international application is to carrying out modulo-n counting, while n is the fixed amount of data packets forming a data source packet, and to signal said data source packet boundaries to a memory management device at the beginning of each counting interval.

None of the prior art documents cited in the international search report disclose the feature of modulo-n counting while receiving data via a data bus. Thus, claim 1 of the international application meets the requirements of Article 33(2) PCT concerning novelty.

The solution of claim 1 of the international application appears to be obvious to the person skilled in the art to solve the given problem. Employing modulo-n counters in serial bus interfaces in order to count bits, bytes or data packets, respectively, can be considered as common knowledge. However, none of the prior art documents cited in the international search report discuss the afore-mentioned technical problem, i.e. determining data source packet boundaries while receiving data packets



of variable length and, consequently, none of these documents describe modulo-n counting of data packets while receiving data in order to solve said technical problem.

An inventive step in the sense of Article 33(3) PCT in combination with PCT Preliminary International Examination Guidelines C-IV-8.4 and 8.4(i) should therefore be recognized.

- 2. Claims 2 - 4 depend on independent claim 1 which was found novel and inventive. Consequently, also claims 2 - 4 meet the requirements of Articles 33(2) and (3) PCT concerning novelty and an inventive step.
- Apparatus claim 5 directly corresponds to method claims 1 4 as it discloses an 3. apparatus for carrying out the method of one of said preceding claims. As said preceding claims was found novel and inventive also claims 5 meets the requirements of Articles 33(2) and (3) PCT concerning novelty and an inventive step.
- 4. Claims 6 - 9 depend on apparatus claim 5 which was found novel and inventive. Consequently, also claims 6 - 9 meet the requirements of Articles 33(2) and (3) PCT concerning novelty and an inventive step.
- 5. Claims 1 - 9 meet the requirements of Article 33(4) PCT concerning industrial applicability.

### Re Item VIII

### Certain observations on the international application

Apparatus claims 8 and 9 depend on one of the preceding claims including method claims 1 - 4. As the wording of said claims leave doubt as to its category the requirements of Article 6 PCT are not complied with (see also PCT Preliminary International Examination Guidelines C-III-4.1). For the examination with regard to novelty, inventive step and industrial applicability under section V of this examination report it is assumed that said claim 8 depends on one of the apparatus claims 5 - 7 and claim 9 depends on one of the apparatus claims 5 - 8.



(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference PD990014	(Form PCT/ISA/220) as well as, where applicable, item 5 below.							
International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)						
PCT/EP 00/02182	13/03/2000	25/03/1999						
Applicant		•						
DEUTSCHE THOMSON-BRANDT G	МВН							
This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.								
This International Search Report consists    X	of a total of sheets.  y a copy of each prior art document cited in this	s report.						
Basis of the report     a. With regard to the language, the	international search was carried out on the ba	asis of the international application in the						
	less otherwise indicated under this item.  vas carried out on the basis of a translation of	the international application furnished to this						
Authority (Rule 23.1(b)).								
b. With regard to any nucleotide an was carried out on the basis of the		ntemational application, the international search						
	onal application in written form.							
1 =	emational application in computer readable for	m. ·						
	o this Authority in written form.							
	o this Authority in computer readble form.	de la contraction de la contra						
the statement that the su international application a	bsequently fumished written sequence listing of the se	does not go beyond the disclosure in the						
the statement that the inf furnished	ormation recorded in computer readable form	is identical to the written sequence listing has been						
2. Certain claims were for	ınd unsearchable (See Box I).							
3. Unity of Invention is lac	ekting (see Box II).							
4. With regard to the <b>title</b> ,								
X the text is approved as s	ubmitted by the applicant.							
the text has been establi	the text has been established by this Authority to read as follows:							
5. With regard to the abstract,  the text is approved as s	ubmitted by the applicant.							
the text has been establi		rity as it appears in Box III. The applicant may, sport, submit comments to this Authority.						
6. The figure of the drawings to be put	olished with the abstract is Figure No.	1						
as suggested by the app	licant.	None of the figures.						
because the applicant fa	iled to suggest a figure.							
because this figure better characterizes the invention.								

International Application No Page EP 00/02182

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 G06F13/38

According to International Patent Classification (IPC) or to both national classification and IPC

### B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols) IPC 7 G06F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, INSPEC

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
	Challet of account in, with interesting, whole appropriately of the following passages	
Χ .	WO 98 47271 A (SONY ELECTRONICS INC)	1-3,5-8
	22 October 1998 (1998-10-22)	
Α	page 3, line 20 -page 4, line 3	4,9
	page 4, line 15 -page 5, line 26	
	abstract; claims 1-3; figure 1	
	page 8, line 23 -page 11, line 15	
A	FR 2 766 938 A (SONY CORP)	1-9
	5 February 1999 (1999-02-05)	
	page 1, line 1 -page 4, line 29	
	page 6, line 16 -page 8, line 16	ł
	page 18, line 6 -page 20, line 9	
	abstract; claims 1-5; figure 1	
	<b></b>	
	<b>-/</b>	
		•

Further documents are listed in the continuation of box C.	Patent family members are listed in annex.
Special categories of cited documents:  "A" document defining the general state of the art which is not considered to be of particular relevance  "E" earlier document but published on or after the international filing date  "L" document which may throw doubts on priority clalm(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  "O" document referring to an oral disclosure, use, exhibition or other means  "P" document published prior to the international filing date but later than the priority date claimed	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention  "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone  "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.  "&" document member of the same patent family
Date of the actual completion of the international search	Date of mailing of the international search report
30 August 2000	06/09/2000
Name and mailing address of the ISA	Authorized officer
European Patent Office, P.B. 5818 Patentlaan 2 NL – 2280 HV Rijswilk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Nguyen Xuan Hiep, C

1

International Application No EP 00/02182

C.(Continue	tion) DOCUMENTS CONSIDERED TO BE RELEVANT	
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 875 313 A (ODOM BRIAN K ET AL) 23 February 1999 (1999-02-23) column 1, line 11 -column 2, line 15 column 5, line 49 -column 6, line 67 abstract; figure 3	1-9
		·
		·

1

Information on patent family members

international	Application No
EP	00/02182

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 9847271	Α	22-10-1998	AU 2669797 A EP 0976228 A	11-11-1998 02-02-2000
FR 2766938	Α	05-02-1999	JP 11017764 A NL 1009451 A	22-01-1999 22-12-1998
US 5875313	Α	23-02-1999	NONE	